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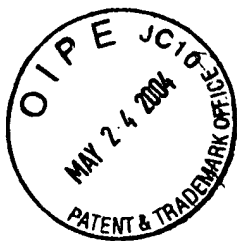
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PATENT *1fw*



IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

APPLICANT: Cheng Youn Lu
APPLICATION NO.: 10/764,380
FILING DATE: January 22, 2004
TITLE: Statistic Parameterized Control Loop for Compensating Power and
Extinction Ration of a Laser Diode
EXAMINER: not yet known
GROUP ART UNIT: 2828
ATTY. DKT. NO.: 21676-07366

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 5/20/04

By: Eileen A. Lehmann
Eileen A. Lehmann, Reg. No.: 39,272

COMMISSIONER FOR PATENTS
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REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The filing fee received is erroneously stated as \$428. The correct filing fee received is \$468, as evidenced by the Fee Transmittal and checks, copies of which are enclosed.

PATENT

\$428 was for the basic filing fee and claims fees while \$40 was for the recordation of the assignment.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,
CHENG YOUN LU

Dated: 5/20/04

By: Eileen A. Lehmann
Eileen A. Lehmann, Reg. No.: 39,272
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7246
Fax.: (650) 938-5200

21676/07366/DOCS/1436993.1

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.177 & 1.178.

TOTAL AMOUNT OF PAYMENT (\$ 468.00)

Complete if Known

Application Number	not yet known
Filing Date	January 22, 2004
First Named Inventor	Cheng Youn Lu
Examiner Name	not yet known
Art Unit	not yet known
Attorney Docket No.	21676-07366

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ 385)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	9	0
4	-3** = 1	43	43

Multiple Dependent

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 43)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

SUBTOTAL (3) (\$ 40)

SUBMITTED BY

Name (Print/Type)

Eileen A. Lehmann

Registration No.
(Attorney/Agent)

39,272

Complete (if applicable)

Telephone (650) 335-7246

Signature

Eileen A. Lehmann

Date

01/22/04

FENWICK & WEST LLP

801 California Street

Mountain View, CA 94041

CHECK NO. 3746541

VENDOR: DIRECTOR OF U.S. PATENT AND TRADEMARK OFFICE

VENDOR NO.: 1025452

CHECK DATE: 01-22-2004

INVOICE NO.	INVOICE DATE	DESCRIPTION	ACCOUNT NO.	FILE NO.	AMOUNT
6980	01-22-2004	21676.07366	01990023300000000000		428.00

TOTAL AMOUNT

428.00

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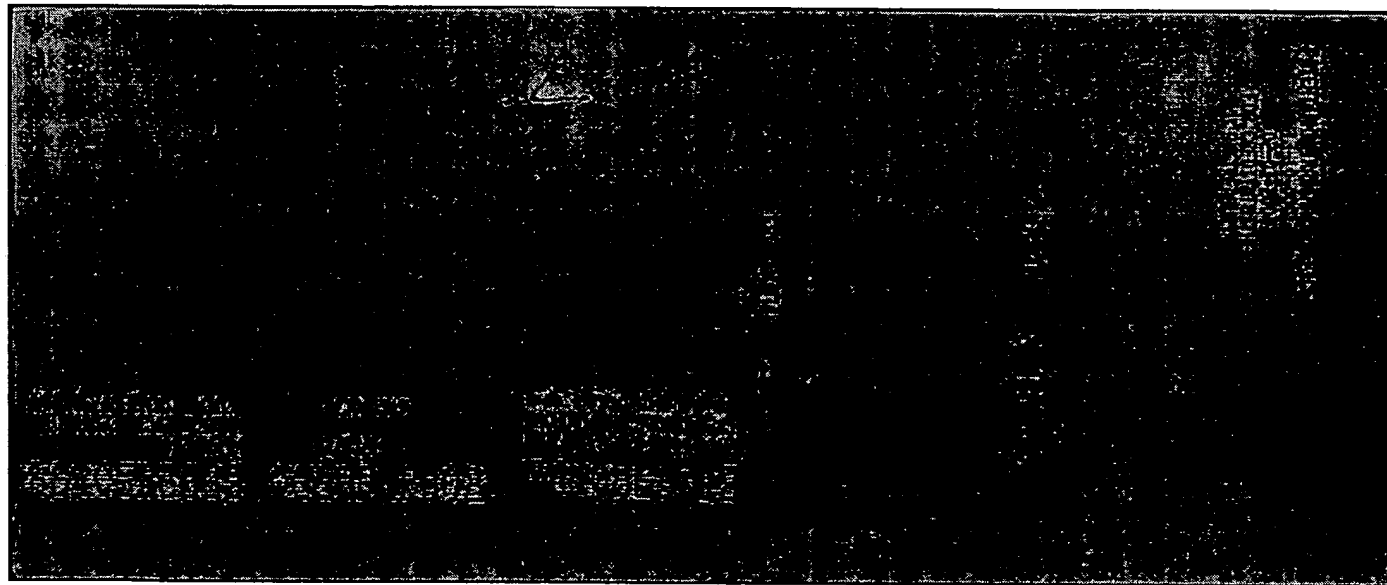
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3746547

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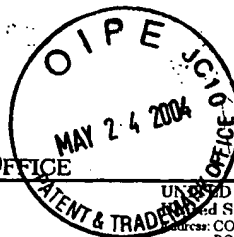
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/764,380	01/22/2004	2828	428	21676-07366	9	17	4

00758

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 801 CALIFORNIA STREET
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MAY 06 2004

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CONFIRMATION NO. 1505

FILING RECEIPT



OC000000012499950

Date Mailed: 05/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Cheng Youn Lu, Fremont, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/442,302 01/23/2003

Foreign Applications

If Required, Foreign Filing License Granted: 04/30/2004

Projected Publication Date: 08/12/2004

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ******Title**

Statistic parameterized control loop for compensating power and extinction ratio of a laser diode

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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